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9-21-99

PATENT  
ATTORNEY DOCKET NO.: 41464-5018-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Tomohiro KAWATA et al. )  
Application No.: 08/931,615 ) Group Art Unit: 2743  
CPA Filed: May 24, 1999 ) Examiner: H. Le  
For: SPEAKER UNIT )

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SEP 15 1999  
TECH CENTER 2700

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

AMENDMENT

In response to the Office Action dated June 16, 1999 (Paper No. 11) the period for response to which extends through September 16, 1999, and the interview with the Examiner of September 7, 1999, please amend the above-identified application as follows:

IN THE CLAIMS:

Please amend claims 4, 6 and 10 as follows:

4. (Twice Amended) A speaker unit comprising:

an elliptical vibrating diaphragm;

a cylindrical voice coil having a circular cross-section and secured at one end thereof on a center of the elliptical vibrating diaphragm;

09/16/1999 MBERHE 00000089 08931615

01 FC:103 162.00 OP  
02 FC:102 234.00 OP

1-WA/1261597.1

09/21/1999 YUINES 00000001 500310 08931615  
01 FC:102 201:01 10  
78.00 CH

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Examiner: H. Le

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**AMENDMENT TRANSMITTAL FORM**

1. Transmitted herewith is an Amendment responding to the Office Action dated June 16, 1999.

2. Additional papers enclosed:

- ☐ Drawings: ☐ Formal ☐ Informal (Corrections)
- ☐ Information Disclosure Statement
- ☐ Form UNITED STATES PATENT AND TRADEMARK OFFICE-1449, \_\_\_ references included
- ☐ Citations
- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.

3. **EXTENSION OF TIME**

The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136(a) apply.

☒ Applicants believe that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicants have inadvertently overlooked the need for a petition and fee for extension of time.

☐ Applicants petition for an extension of time, the fees for which are set out in 37 C.F.R. 1.17(a)-(d), for the total number of months checked below:

<u>Total Months Requested</u>	<u>Fee for Extension</u>	<u>[Fee for Small Entity]</u>
[ ] one month	\$ 110.00	\$ 55.00
[ ] two months	\$ 380.00	\$190.00
[ ] three months	\$ 870.00	\$435.00
[ ] four months	\$1,360.00	\$680.00

☐ Extension of time fee due with this request: \$ 0.00

☐ If an extension of time is required, please consider this a Petition therefor.

☐ An extension for     months has already been secured and the fee paid therefor of \$     is deducted from the total fee due for the total months of extension now requested.

#### 4. Fee Calculation

CLAIMS AS AMENDED						
	Claims Remaining After Amendment		Highest No. Previously Paid	Present Extra	at Rate of	Total Fees
Total Claims	29	minus	20	9	9 x \$18.00 each =	\$ 162.00
Independent Claims	6	minus	3	3	3 x \$78.00 each =	\$ 234.00
<input type="checkbox"/> First presentation of Multiple dependent claim(s)					\$ 260.00	\$ 234.00
SUB-TOTAL =						\$ 396.00
Reduction by ½ for filing by a small entity						- \$ 0.00
TOTAL FEE =						\$ 396.00

5. Fee Payment

☐ No fee is to be paid at this time.

☒ A check in the amount of \$396.00 representing fee for 9 additional claims and 3 additional independent claims. The Commissioner is hereby authorized to charge any additional extension of time fee or additional fee for claims due to Deposit Account No. 50-0310.

☒ The Commissioner is hereby authorized to charge any fees including fees due under 37 C.F.R. § 1.16 and § 1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.

Respectfully submitted,

**MORGAN, LEWIS & BOCKIUS LLP**

By: \_\_\_\_\_



George S. Bardmesser  
Reg. No. 44,020

Dated: September 15, 1999

**MORGAN, LEWIS & BOCKIUS LLP**  
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GSB/am